

**PERSONAL FINANCIAL STATEMENT**

Submitted to:



Date \_\_\_\_\_

Section 1 - Individual Information (type or print)	Section 2 - Other Party Information (type or print)
Name _____	Name _____
Address _____	Address _____
Social Security # _____ Date of Birth _____	Social Security # _____ Date of Birth _____
Position or Occupation _____	Position or Occupation _____
Business Name _____	Business Name _____
Business Address _____	Business Address _____
Length at present address _____(yrs) Length of employment _____(yrs)	Length at present address _____(yrs) Length of employment _____(yrs)
Res. phone _____ Bus. phone _____	Res. phone _____ Bus. phone _____
Have (either of) you or any firm in which you were a major owner ever declared bankruptcy, or settled any debts for less than the amounts owed? If yes, please provide details on a separate sheet. <span style="float:right">___ Yes ___ No</span>	
Are (either of) you a Defendant in any suit or legal action? <span style="float:right">___ Yes ___ No</span>	
Are (either of) you presently subject to any unsatisfied judgements to tax liens? <span style="float:right">___ Yes ___ No</span>	
When, if ever, have (either of) you been audited by the IRS? <span style="float:right">___ Yes ___ No</span>	

**Section 3 - Statement of Financial Conditions as of \_\_\_\_\_, 20**

ASSETS	Note: Complete SCHEDULES first.			Liabilities		
(Do not include assets of doubtful value)	Individual	Other Party	(1*)	Individual	Other Party	(1*)
Cash, Checking & Savings, CD's - see Schedule A	\$	\$		Notes payable to banks and others - see Schedule H	\$	\$
U.S. Gov't & marketable securities - see Schedule B				Due to brokers		
Non-marketable securities - see Schedule C				Amounts payable to others - secured		
Securities held by broker in margin accounts				Amounts payable to others - unsecured		
Restricted, control or margin account stocks				Accounts & bills due		
Real estate owned - see Schedule D				Unpaid income tax		
Accounts, loans & notes receivable				Other unpaid taxes & interest		
Automobiles				Real estate mortgages payable - see Schedules D & H		
Cash surrender value-life insurance - see Schedule E				Other Liabilities (list)		
Vested interest in deferred compensation profit-sharing plans - see Schedule F						
Business ventures - see Schedule G						
Other assets/personal property itemize - \$						
				<b>TOTAL LIABILITIES</b>	\$	\$
				<b>NET WORTH</b>	\$	\$
<b>TOTAL ASSETS</b>	\$	\$		<b>TOTAL LIABILITIES AND NET WORTH</b>	\$	\$

**Section 4 - For Year Ended \_\_\_\_\_, 20**

Annual Income	Annual Expenditures		Contingent Liabilities				
	Individual	Other Party	Individual	Other Party	Individual	Other Party	
Salary, bonuses & commissions	\$	\$	Mortgage/rental payments	\$	\$	Do you have any ... YES NO	
Dividends & interest			Real estate taxes & assessments			Contingent liabilities (as endorser, co-maker, or guarantor?)	
Real estate income			Taxes - Federal, State & local			On leases?	
Other income (alimony, child support, or separate maintenance income need not be revealed if you do not wish to have it considered)			Insurance payments			On contracts?	
			Other contract payments			Involvement in pending legal activities?	
			Alimony, child support, maintenance			Contested income tax liens? Any estimated capital gains tax on the unrealized asset appreciation?	
			Other expenses			Other special debt or circumstances?	
<b>Total Income</b>	\$	\$	<b>Total Expenditures</b>			<b>If "yes" to any questions, please attach a separate sheet</b>	
(1*) Note: If assets or liabilities are jointly held, indicate "J" and list with whom on a separate sheet.						<b>Total Contingent Liabilities</b>	
						Individual      Other party	
						\$                      \$	

**SCHEDULE A - CASH, CHECKING AND SAVINGS ACCOUNTS, CERTIFICATES OF DEPOSIT, MONEY MARKET FUNDS, ETC.**

Name of Financial Institution	Type of Account	Owner (s)	If pledged, to Whom?	Balance

**SCHEDULE B - U.S. GOVERNMENT & MARKETABLE SECURITIES (Use additional sheet if necessary)**

Number of shares or face value of bonds	Description	In Name of	Are these Registered, Pledged, or Held by Others?	Market Value	Exchanges where Traded

**SCHEDULE C - NON-MARKETABLE SECURITIES (Use additional sheet if necessary)**

Number of shares	Description	In Name of	Are these Registered, Pledged, or Held by Others?	Value	Method of Valuation

**SCHEDULE D - INVESTMENTS IN REAL ESTATE (Use additional sheet if necessary)**

Description / Location of Real Estate Investment	Date of Original Investment / Amount	% Owned by You	Market Value of Your % of Investment	Present Balance	Monthly Payment	Mortgage Maturity Date	Mortgage Owed To

**SCHEDULE E - LIFE INSURANCE CARRIED, INCLUDING GROUP INSURANCE**

Name of Insurance Company	Owner of Policy	Beneficiary and Relationship	Face Amount	Policy Loans	Cash Surrender Value

**SCHEDULE F - VESTED INTEREST IN DEFERRED COMPENSATION PROFIT-SHARING PLANS**

% Vested	Company Name	Account Number	Manner of Payout (Annuity, Lump Sum, etc.)	Distribution Date	Beneficiary	Amount

**SCHEDULE G - BUSINESS VENTURES (Use additional sheet if necessary)**

List Name and Address of any Business Venture in Which You Are a Principal or Partner	Your Position / Title in the Business	Line of Business	Years in Business	Total Assets Listed in Section 3	Your % of Ownership	Net Worth of Business	Present Net Value of Your Investment

**SCHEDULE H - LOANS OWING BANKS, BROKERS, FINANCE COMPANIES, AND OTHERS (MASTERCARD, VISA, ETC.)**

Owing to (Acc't No.)	Date of Original Borrowing Account	Present Balance	Due	Monthly Payment	Date of Final Pay't	Secured By

The information contained in this statement is provided to induce you to extend or to continue the extension of credit to the undersigned or to others upon the guaranty of the undersigned. The undersigned acknowledges and understands that you are relying on the information provided herein in deciding to grant or continue credit or to accept a guaranty thereof. Each of the undersigned represents, warrants, and certifies that (1) the information provided herein is true, correct, and complete and gives a correct and complete showing of the financial condition of the undersigned, (2) the undersigned has no liabilities direct, indirect or contingent except as set forth in this statement, and (3) legal and equitable title to all assets listed herein is in the undersigned's sole name, except as may be herein otherwise noted. Each of the undersigned agrees to notify you immediately and in writing of any change in name, address, or employment and of any material adverse change (1) in any of the information contained in this statement or (2) in the financial condition of any of the undersigned or (3) in the ability of any of the undersigned to perform its (or their) obligations to you. In the absence of such notice or a new and full written statement, this should be considered as a continuing statement and substantially correct. You are authorized to make all inquiries you deem necessary to verify the accuracy of the information contained herein, and to determine the credit-worthiness of the undersigned and the undersigned hereby authorizes all persons of whom you make such inquiries to respond thereto in full. Each of the undersigned authorizes you to answer questions about your credit experience with the undersigned.

Date signed \_\_\_\_\_, 20\_\_\_\_ Signature (individual) \_\_\_\_\_

Date signed \_\_\_\_\_, 20\_\_\_\_ Signature (other party) \_\_\_\_\_